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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:)
 David A. Williams)
 Serial No. 09/394,867)
 Filed: September 13, 1999) Group Art Unit 1636
 METHODS FOR ENHANCED)
 RETROVIRAL-MEDIATED GENE) March 24, 2000
 TRANSFER)

SUBMISSION OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
 Washington, D. C. 20231
 ATTN: Box Missing Parts

Sir:

Please consider the following. Additionally, please provide any extensions of time which may be necessary and charge any fees which may be due to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Pursuant to the Notice to File Missing Parts of Application received for the above application, Applicants submit herewith a check for \$130.00 reflecting the large entity surcharge under 37 C.F.R. § 1.16 for filing a declaration later than the filing date, a check for \$760.00 reflecting the large entity fee for filing a patent application under 37 C.F.R. §1.16, and a copy of the PTO Notice to File Missing Parts of Application.

Respectfully submitted,

By

Kenneth A. Gandy
 Kenneth A. Gandy
 Reg. No. 33,386
 Woodard, Emhardt, Naughton,
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on	
<u>March 28, 2000</u>	
Date of Deposit	
_____ Kenneth A. Gandy	
Name of Registered Representative	
<i>Kenneth A. Gandy</i>	
Signature	
<u>March 28, 2000</u>	
Date of Signature	



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Section 3
#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/394,867 09/13/99 WILLIAMS

D 7037-377/IU-

0242/1004

THOMAS Q HENRY

NOT ASSIGNED

WOODARD EMHARDT NAUGHTON MORIARTY
AND MCNETT BANK ONE CENTER TOWER
111 MONUMENT CIRCLE SUITE 3700
INDIANAPOLIS IN 46204-5137

1636

DATE MAILED:

10/04/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a
 small entity (statement filed) non-small entity is \$ 890.00*

1. The statutory basic filing fee is:

missing.
 insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ for total claims over 20.

\$ for independent claims over 3.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.

does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

D. King
Customer Service Center
Initial Patent Examination Division (703) 308-1202

04/05/2000 Y6IZAN 00000045 09394867

01 FC:101
02 FC:105

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130.00 0P